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| APPLICATION NO.  | FILING DATE                    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|--------------------------------|----------------------|---------------------|------------------|
| 10/715,337   | 11/17/2003                     | Robert Allen Smith   | KER020/4-1DIVUS     | 2390             |
| 21586<br>VINSON & EL                                     | 7590 12/15/200<br>KINS, L.L.P. | EXAMINER             |                     |                  |
| FIRST CITY TOWER   |                                |                      | WARE, DEBORAH K     |                  |
| 1001 FANNIN STREET, SUITE 2500<br>HOUSTON, TX 77002-6760 |                                | )                    | ART UNIT            | PAPER NUMBER     |
|  |                                |                      | 1651                |                  |
|  |                                |                      |                     |                  |
|  |                                |                      | NOTIFICATION DATE   | DELIVERY MODE    |
|  |                                |                      | 12/15/2008          | ELECTRONIC       |

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

cporter@velaw.com IPTLdocket@velaw.com bmelder@velaw.com

|  | Application No.   | Applicant(s)                 |  |  |   |
|--|---|------------------------------|--|--|---|
|  | 10/715,337  | SMITH ET AL.                 |  |  |   |
| Office Action Summary  | Examiner  | Art Unit                     |  |  |   |
|  | DEBBIE K. WARE  | 1651                         |  |  |   |
| The MAILING DATE of this communication app<br>Period for Reply   | ears on the cover sheet with the c  | orrespondence address        |  |  |   |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |                              |  |  |   |
| Status   |   |                              |  |  |   |
| 1)⊠ Responsive to communication(s) filed on <u>03 Se</u>   | eptember 2008   |                              |  |  |   |
|  | action is non-final.  |                              |  |  |   |
| 3) Since this application is in condition for allowan  |   | secution as to the merits is |  |  |   |
|  | closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. |                              |  |  |   |
| Disposition of Claims  |   |                              |  |  |   |
| 4)⊠ Claim(s) <u>1-25 and 32-51</u> is/are pending in the application.  |   |                              |  |  |   |
| 4a) Of the above claim(s) <u>12-25 and 32-51</u> is/are withdrawn from consideration.  |   |                              |  |  |   |
| 5) Claim(s) is/are allowed.  |   |                              |  |  |   |
| 6)⊠ Claim(s) <u>1-11</u> is/are rejected.  |   |                              |  |  |   |
| 7) Claim(s) is/are objected to.  |   |                              |  |  |   |
| 8) Claim(s) are subject to restriction and/or  | election requirement.   |                              |  |  |   |
| Application Papers   |   |                              |  |  |   |
| 9)☐ The specification is objected to by the Examine  | •   |                              |  |  |   |
| 10)⊠ The drawing(s) filed on is/are: a)⊠ accepted or b)□ objected to by the Examiner.  |   |                              |  |  |   |
|  |   |                              |  |  |   |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  |   |                              |  |  |   |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |   |                              |  |  |   |
| Priority under 35 U.S.C. § 119   |   |                              |  |  |   |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:   |   |                              |  |  |   |
| 1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  |   |                              |  |  |   |
|  |   |                              |  |  | 3. Copies of the certified copies of the priority documents have been received in this National Stage |
| application from the International Bureau (PCT Rule 17.2(a)).  |   |                              |  |  |   |
| * See the attached detailed Office action for a list of the certified copies not received.   |   |                              |  |  |   |
| dee the attached detailed office action for a list of the defining copies not received.  |   |                              |  |  |   |
| Attachment(s)  |   |                              |  |  |   |
| 1) Notice of References Cited (PTO-892)  | 4) Interview Summary  | (PTO-413)                    |  |  |   |
| 2) Notice of Praftsperson's Patent Drawing Review (PTO-948)  | Paper No(s)/Mail Da   | ate                          |  |  |   |
| 3) Information Disclosure Statement(s) (PTO/SB/08)   | 5) Notice of Informal P   | atent Application            |  |  |   |
| Paper No(s)/Mail Date 6) U Other:  |   |                              |  |  |   |

#### **DETAILED ACTION**

Claims 1-25 and 32-51 are pending.

### Response to Amendment

The amendment filed September 3, 2008, has been received and entered. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

## Election/Restrictions

Applicant's election without traverse of Group I, claims 1-11, in the reply filed on November 8, 2007, is acknowledged.

Claims 12-25 and 32-51 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention(s), there being no allowable generic or linking claim. Election was made **without** traverse in the reply filed on November 8, 2007.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-11 are rejected under 35 U.S.C. 102(b) as being anticipated by CN 1137030, cited on enclosed PTO-1449 Form.

Claims are drawn to a composition comprising keratin and metal ions and preservative components (e.g. ammonium compounds).

CN 1137030 teaches a composition comprising keratin and metal ions and preservative components (e.g. ammonium compounds).

The claims are identical to the teachings of CN 1137030 and are, therefore, considered to be anticipated by the reference. Keratin is universally derived from hair, feathers, etc., and hence the source of the keratin even if silent in the reference is an inherent feature of the teachings in the reference. Also ammonium components are preservatives and even if the reference may be silent with respect to the tetraalkylammonium hydroxide it is inherent to the teachings of the reference because its structure is comparable to those disclosed by the reference.

#### Response to Arguments

Applicant's arguments filed September 3, 2008, have been fully considered but they are not persuasive. The argument that the reference does not describe a composition comprising a hydratable protein is noted, however, the reference does disclose keratin as the source of the soil amendment product and Applicants' claims do not necessarily omit the presence of amino acids. Furthermore, amino acids are cationic species because they can carry a charge in dissociated form. Also the claims are not necessarily limited to a keratin hydrogel. In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., a hydratable keratin comprising oxidized or reduced disulfide bonds, and separated protein strands or intraprotein links and

having backbone peptide bonds essentially unbroken or intact and which the intact protein form a polyelectrolyte which absorbs water to obtain protein gel) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

All claims fail to be patentably distinguishable over the state of the art discussed above and cited on the enclosed PTO-892 and/or PTO-1449. Therefore, the claims are properly rejected.

The remaining references listed on the enclosed PTO-892 and/or PTO-1449 are cited to further show the state of the art.

No claims are allowed.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Deborah K. Ware whose telephone number is 571-272-0924. The examiner can normally be reached on 9:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mike Wityshyn can be reached on 571-272-0926. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/DKW/ Deborah K. Ware Art Unit 1651 /David M. Naff/ Primary Examiner, Art Unit 1657 Application/Control Number: 10/715,337

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